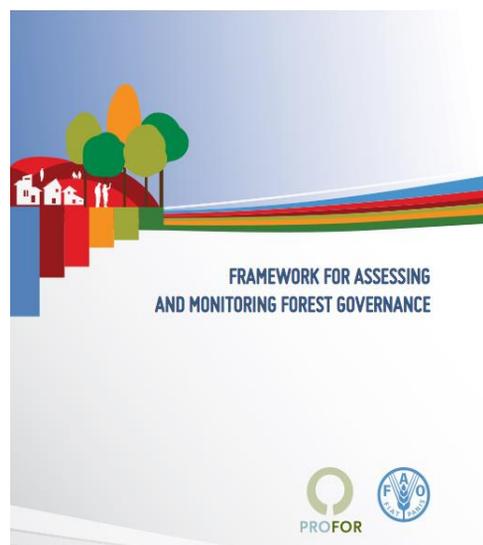


## The How-To, of Forest Governance Assessment and Monitoring.

Nalin Kishor (Sr. NR Economist, PROFOR, The World Bank)  
Presentation prepared for Interlaken+10—  
Governing Forest Landscapes  
Feb. 6, 2015, Interlaken, Switzerland

### In 2011:

- A consensus-based document set out components of forest governance
- 3 Pillars:
  - Gov. “on paper”
  - Decision-making
  - Gov. “as implemented”
- “What” to measure, but not fully “how”



## In 2012



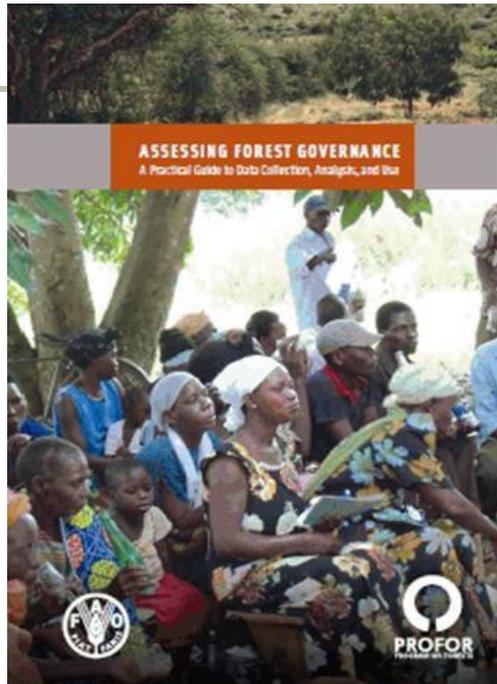
- Experts met in Rome to consider need for guidance on **how** to measure forest governance.
- Developed working outline of a guide to governance assessment and data collection.
- FAO & PROFOR convened core group of experts to oversee writing of guide.

## In 2012 & 2013



- Core group met in **Brussels** (EFI Forest House) and **Washington** (World Resources Institute offices).
- Refined **outline** and critiqued initial **drafts** of guide.

## Organizational Support



## WHAT IS A FOREST GOVERNANCE ASSESSMENT?



- An assessment is an attempt to measure forest governance.
- Assessments can be used to diagnose problems, compare conditions, or monitor efforts to change.
- Assessments require a clear and detailed definition of what is to be measured.
- Many recent assessments have used the FAO–PROFOR Framework.

## Five Key Premises of the Guide



A good governance assessment:

- Requires good planning
- Is transparent and includes stakeholder participation
- Uses data collection methods that are technically sound
- Disseminates data in ways that encourage use of the assessment
- Is open to self evaluation, learning and improvement

## Contents of the Guide

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- Three main sections
  - Planning
  - Data collection & analysis
  - Dissemination, use & learning
- Also annexes, including case studies and links to tools

## Planning: Ch. 1, Setting Objectives

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- Consider why you are conducting an assessment.
- Consider the context: what will affect how you conduct the assessment and what its impact might be
- Write down your objectives

## Planning: Ch. 2, Develop a Work Plan

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- Set the scope of the assessment
- Select general approach
- Decide who will conduct the assessment
- Figure timing
- Figure cost
- Write a work plan

## Planning: Ch. 3, Details of Data Collection

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- Describe the scope in detail, via narrative or indicators
- Identify data sources
- Set specific data collection methods
- Develop tools (protocols, surveys, etc.)
- Write a data collection plan

## Doing: Ch. 4, Data Collection

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- Recruit and train staff
- Collect data
- Assure data quality

## Doing, Ch. 5: Analysis

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- Process the collected data
- Analyze the data
- Make recommendations

## Using & Follow-up: Ch. 6, Publication & Application

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- Develop a dissemination strategy
- Implement the strategy
- Institutionalize future assessment

## Using and follow-up: Ch. 7, Capturing lessons about assessment itself

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- Evaluate the process as it unfolds
- Evaluate the process when it's over
- Share lessons learned
- Keep the door open for further feedback

## Annexes

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- **Case studies from Indonesia, Tanzania, Ecuador, Liberia, and Uganda**
- Lists of tools and references for assessment
- Advice on writing budgets & work plans
- Advice on developing indicators
- A glossary

## Future plans

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- Training
- Pilot application
- Collection of feedback from users  
([assessment@forestgov.info](mailto:assessment@forestgov.info))



**Thank you for  
your interest!**